

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
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 (703) 746-4000

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated below, corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee payments.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

11/02/2004

David W. Carrithers  
 CARRITHERS LAW OFFICE  
 One Paragon Centre  
 6060 Dutchman's Lane, Suite 140  
 Louisville, KY 40205

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

|                            |                    |
|----------------------------|--------------------|
| David W. Carrithers        | (Depositor's name) |
| <i>David W. Carrithers</i> | (Signature)        |
| 2/2/05                     | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/678,697      | 10/03/2003  | Benjamin J. Canaday  | AE136/2002          | 9959             |

TITLE OF INVENTION: FIREARM BREECH SAFETY LOCK

| APPL. TYPE     | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES          | \$685 700 | \$300           | \$985            | 02/02/2005 |

| EXAMINER         | ART UNIT | CLASS-SUBCLASS |
|------------------|----------|----------------|
| SEMUNEGUS, LULIT | 3641     | 042-070110     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. GARRITHERS LAW OFFICE PLLC2. David W. Carrithers

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Larry Rustin  
Benjamin Canaday  
Clay Elrod  
David Elrod

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fredonia, Kentucky  
Kevil, Kentucky  
Smithland, Kentucky  
Boaz, Kentucky

Please check the appropriate assignee category or categories (will not be printed on the patent): ☒ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature: David W. CarrithersDate: 2/2/05Typed or printed name: David W. CarrithersRegistration No.: 35475

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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The PTO did not receive the following  
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a check for issue fee

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40205

# CARRITHERS LAW OFFICE, PLLC

Patent, Trademark, Copyright and Unfair Competition Law

TELEPHONE: 502 452-1233  
FAX: 502 456-2242  
E-MAIL: dcpatent@bellsouth.net

February 2, 2005

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RE: U.S. Patent Application S.N. 10/678,697  
For: FIREARM BREECH SAFETY LOCK  
Docket No. AE136/2002  
Applicant: BENJAMIN J. CANADAY

Dear Sir:

On January 31, 2005, an Issue Fee Transmittal Form together with fee was mailed to the USPTO.

One of the inventors, Larry Rustin, was inadvertently omitted from the form.

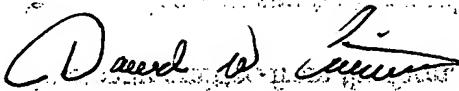
Please substitute the enclosed newly executed Issue Fee Transmittal Form which includes Mr. Rustin as an assignee.

The assignees are as follows:

|                  |               |
|------------------|---------------|
| Larry Rustin     | Fredonia, KY  |
| Benjamin Canaday | Kevil, KY     |
| Clay Elrod       | Smithland, KY |
| David Elrod      | Boaz, KY      |

Please contact us if you have any questions regarding same.

Respectfully submitted,



David W. Carrithers  
Reg. No. 35475